
PCC RISK REGISTER

1. Purpose of Report

- 1.1 To update the Police and Crime Panel on the PCC Risk Register.

2. Background and Main Considerations for the Committee

- 2.1 The risk register is now aligned to the lifespan of the Police and Crime Plan (2017-2021) and has been reviewed and updated as at 4 May 2018.
- 2.2 All risks are split into two categories – they are either inherent risks and will remain on the register regardless of score, or topical risks which will be removed when they have a score of 10 or under. This will assist in ensuring focus remains on current risks and what additional mitigations or plans are needed to reduce the score and thus be removed from the register.
- 2.3 The register is a dynamic document and is intended to capture live management of risk and mitigation, rather than being a record of all possible risks.
- 2.4 The register is reviewed monthly on an informal basis by the Deputy Chief Executive and formally by the Commissioner's Monitoring Board on a quarterly basis, prior to meetings of the Joint Independent Audit Committee and the Police and Crime Panel. The Commissioning and Policy Officer has responsibility for the day-to-day management of the register.
- 2.5 Owners for each risk are identified and they are responsible for providing updates on mitigation and score.
- 2.6 The risk appetite remains at 30.
- 2.7 The PCC Risk Register is attached at Appendix A.
- 2.8 Attached at Appendix B is a glossary setting out the meaning of the acronyms used and the scoring brackets for identifying risks as high, moderate, acceptable, or minor.

3. Op Fairline

- 3.1 Op Fairline (which is the investigation into the Salisbury poisoning incident) started at the beginning of March 2018 and just after the last formal review of the PCC's risk register.

3.2 A number of risks have been updated to reflect the impact of this operation and a new risk recognising the potential impact on public confidence and police resources (T7) has been created.

4. Key Risks to Consider – Inherent

4.1 The register identifies ten inherent risks; seven are considered as either minor or acceptable and three are considered moderate.

4.2 Details on the three risks considered moderate are:

- *Risk I1: Failure to deliver the priorities set out in the Police and Crime Plan due to lack of resources or emerging threats (**moderate** risk)*

The Force is currently reviewing delivery against the priorities set out in the Police and Crime Plan as a result of Op Fairline and will report on its findings to the Commissioner in due course. It is expected that timescales will be delayed, as opposed to the Force not being able to carry out planned work. As a result of this, the likelihood has increased from 2 to 3, increasing the overall score from 16 to 24.

- *Risk I8: ICT services with Wiltshire Council are not resilient and transformational to support effective and efficient policing (**moderate** risk)*

A Gold Group (Op Connect) was established in March to oversee all outstanding ICT issues relating to compliance. As a direct result of this, the number of issues has reduced by two thirds and plans are in place for those that remain outstanding. The likelihood scoring has, therefore, reduced from 4 to 3, reducing the overall score to 24.

- *Risk I9: Police collaborative arrangements do not deliver anticipated benefits due to weak governance and accountability and / or the impacts outweigh business benefits (**moderate** risk)*

A strategic seminar was planned to take place in March which was to review the way forward for the region. However, this was postponed due to Op Fairline. The seminar will now take place in June and a full review of the risk will take place following the strategic seminar. Scoring for this risk is maintained.

5. Key Risks to Consider – Topical

5.1 The register identifies six topical risks; two are considered moderate. Details on these risks are provided in Paragraphs 5.3 and 6.1 below.

5.2 One new topical risk has been identified and is considered a moderate risk. Further detail is given in Paragraph 6.1.

5.3 Details on the one existing risk considered moderate are:

- *Risk T4: Wiltshire Police fail to have operational and organisational policies that meet the duties and aims under the Equality Act 2010 (**moderate** risk)*

The planned internal audit has now taken place and a discussion document issued to the Force. The final report is expected in due course, after which time a full review of this risk will be carried out.

6. New Risks

6.1 One new risk has been identified and further information is provided below:

- *Risk T7: Ongoing Op Fairline impacting upon community confidence and police resources (**moderate** risk)*

This risk has been created in recognition of the impact Op Fairline is having on police resources and potential impact upon community confidence. The government has provided additional funding of £1.6m to assist with the operation and mutual aid procedures have been initiated, which is also helping to reduce impact on police resources. There is limited control over the management of this risk due to the involvement of partner agencies, which is why control is scored at 4. Overall the risk scoring is 24 and considered moderate.

7. Future Reviews of Risk Register

7.1 Any amendments required following this meeting will be made at the monthly informal review by the Deputy Chief Executive.

Naji Darwish
OPCC Deputy Chief Executive

ACRONYM	MEANING
ACCs	Assistant Chief Constables
APAC ² E	Association of Police and Crime Commissioners Chief Executives
APCC	Association of Police and Crime Commissioners
BAU	Business As Usual
BUSS	Best Use of Stop and Search
CC	Chief Constable
CFO	Chief Finance Officer
CJB	Criminal Justice Board
CJS	Criminal Justice System
CMB	Commissioner's Monitoring Board
CPS	Crown Prosecution Service
CPT	Community Policing Team
CSPs	Community Safety Partnerships
CX	Chief Executive
D&C	Devon and Cornwall
DA	Domestic Abuse
ESN	Emergency Services Network
GCHQ	Government Communications Headquarters
HMICFRS	Her Majesty's Inspectorate of Constabulary and Fire & Rescue Service
HO	Home Office
HR	Human Resources
ICT	Information Communication Technology
JAC	Joint Independent Audit Committee
LA / LAs	Local Authority / Local Authority's
MPs	Members of Parliament
MTFS	Medium Term Financial Strategy
Op Fairline	Salisbury incident
OPCC	Office of Police and Crime Commissioner
P&C Plan	Police and Crime Plan
PACCTS	Police and Crime Commissioners Treasurer Society
Panel	Police and Crime Panel
PCC	Police and Crime Commissioner
PCP	Police and Crime Panel
PCSOs	Police Community Support Officers
PEEL	Police Effectiveness, Efficiency and Legitimacy Programme
PPA	Performance, Planning and Assurance
RJ	Restorative Justice
S&S	Stop and Search
SA	Sexual Abuse
SBC	Swindon Borough Council
SCT	Senior Command Team
SW	South West
T/CC	Temporary Chief Constable
WC	Wiltshire Council
WCJB	Wiltshire Criminal Justice Board

RISK KEY

risk score 30+

risk score 18-29

risk score 8-17

risk score 1-7